

# REVALIDATION

## Quarterly report

Year 1, Quarter 4 – January to March 2017



# **FOREWORD**

## Welcome to the final quarterly report for the first year of revalidation.

As with the previous three quarters we continue to see a positive picture with 93 percent of those due to renew maintaining their registration through revalidation. This continues to compare well with historical averages for renewal.

I am delighted that we are ending the first year of revalidation on the same positive note that we began last April. None of this would have been possible without the work and commitment of all our partners and the nurses and midwives who have embraced revalidation and shared their experiences with us and our evaluation partners.

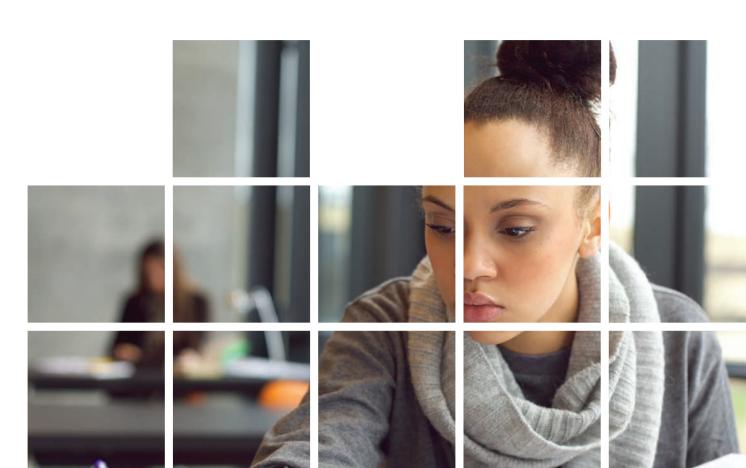
We look forward to being able to publish our first year review of revalidation alongside an independent evaluation report in July.

Emma Broadbent

Director of Registration and Revalidation

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## INTRODUCTION

### Revalidation is the new process that all nurses and midwives in the UK need to follow to maintain their registration with the NMC.

Taking effect from April 2016, nurses and midwives are required to meet a range of requirements that help demonstrate they are practising safely and effectively in line with the Code.

To make sure we monitor and continually improve revalidation, we have committed to reporting quarterly and annually on how the process is going.

The annual report will provide more insight into areas such as scope of practice, reasons for lapsing, work setting, employment type, appraisals and verification. It will also give information on the numbers of nurses and midwives with protected characteristics going through revalidation.

This quarter saw our second peak in March with more people due to revalidate than in most other months (although this number is not as high as our September peak of over 50,000 nurses and midwives). As with September, we are seeing that a higher number of people due to revalidate correlates with a higher renewal rate. This is particularly the case in March for Wales and Northern Ireland, where we have seen renewal rates of 96 percent and 97 percent respectively. The other feature of this quarter is a lower proportion of midwives in the population of those due to renew. However there is no evidence that midwives are lapsing at a higher rate than nurses.

We continue to see a pattern of changes to registration type. As in the past three quarters, the majority of the changes to registration type are dual registrants who lapse one of their registrations.



Finally, the data does not include nurses and midwives who submitted a revalidation application but by the end of their renewal month had not had their revalidation application fully processed. Reasons for this may include: going through the process of verification, declaring cautions and convictions, declaring a determination from another regulator, or being subject to fitness to practise sanctions.

We welcome your feedback, as well as suggestions on what additional information would be useful. For more information please contact:

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## THE BIG PICTURE

### **JANUARY TO MARCH 2017**

The fourth quarter of revalidation shows that the number of nurses and midwives revalidating is in line with our expectations and similar to the patterns of registration renewal we have seen in the past six years.

During this period, **48,598** nurses and midwives renewed their registration.

In the four UK countries, average revalidation rates were very similar, ranging from around **93%** to **95%**.

The proportion of nurses and midwives revalidating by country was:

| England          | 80% |
|------------------|-----|
| Scotland         | 10% |
| Wales            | 5%  |
| Northern Ireland | 3%  |

From outside the UK

The percentage lapsing in the four UK countries was likewise similar, ranging from around 4% to 7%.

1%



## Figure 1: Revalidation summary table

This table summarises the numbers and percentage of nurses and midwives who revalidated with the NMC during the period January—March 2017.

| Mont        | h  | England               | Scotland             | Wales                | Northern<br>Ireland  | Not<br>practising<br>in UK*** | Total                 |
|-------------|--|-----------------------|----------------------|----------------------|----------------------|-------------------------------|-----------------------|
| Jan<br>2017 | Number due to revalidate*                | 11,124                | 1,544                | 462                  | 398                  | 381                           | 13,909                |
|             | Number (percentage)<br>who revalidated** | <b>10,426</b> (93.7%) | <b>1,444</b> (93.5%) | <b>427</b> (92.4%)   | 371<br>(93.2%)       | 209<br>(54.9%)                | 12,877<br>(92.6%)     |
| Feb<br>2017 | Number due to revalidate                 | 12,207                | 2,133                | 520                  | 360                  | 352                           | 15,572                |
|             | Number (percentage)<br>who revalidated   | <b>11,539</b> (94.5%) | 1,993<br>(93.4%)     | <b>490</b> (94.2%)   | 318<br>(88.3%)       | 190<br>(54.0%)                | <b>14,530</b> (93.3%) |
| Mar<br>2017 | Number due to revalidate                 | 17,910                | 1,708                | 1,760                | 924                  | 460                           | 22,762                |
|             | Number (percentage)<br>who revalidated   | 16,777<br>(93.7%)     | 1,548<br>(90.6%)     | <b>1,682</b> (95.6%) | <b>894</b> (96.8%)   | 290<br>(63.0%)                | <b>21,191</b> (93.1%) |
| Total       | Number due to revalidate                 | 41,241                | 5,385                | 2,742                | 1,682                | 1,193                         | 52,243                |
|             | Number (percentage)<br>who revalidated   | <b>38,742</b> (93.9%) | <b>4,985</b> (92.6%) | 2,599<br>(94.8%)     | <b>1,583</b> (94.1%) | 689<br>(57.8%)                | 48,598<br>(93.0%)     |

This table does **not** include nurses and midwives who submitted a revalidation application but by the end of their renewal month had not had their revalidation application fully processed. Reasons for this may include that: they were going through the process of verification, had declared cautions and convictions, had declared a determination from another regulator, or were subject to fitness to practise sanctions.

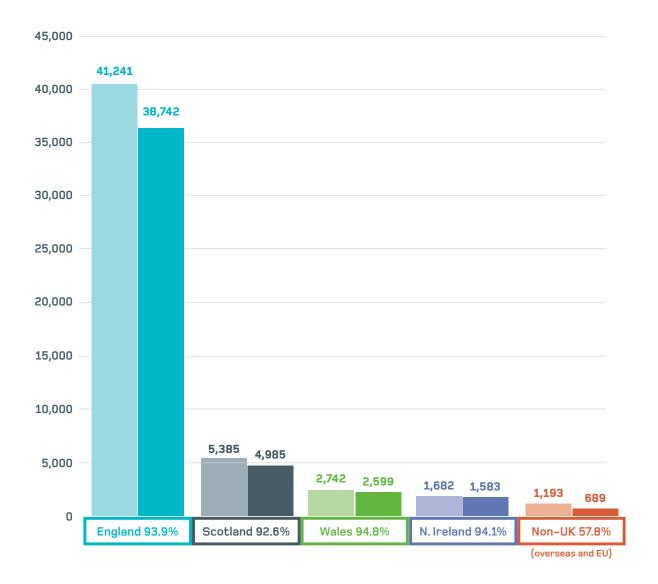
<sup>\*</sup> Includes all nurses and midwives who were sent a formal notice to revalidate for January, February or March 2017 (Figure 4).

<sup>\*\*</sup> Includes all nurses and midwives who revalidated, including through the exceptional circumstances process (Figure 5).

<sup>\*\*\*</sup> This includes nurses and midwives whose current or most recent practice (those for whom we have an employer address), or their home address is either in the EU/EEA or overseas (outside EU/EEA).

## Figure 2: Number due to renew vs. number revalidating

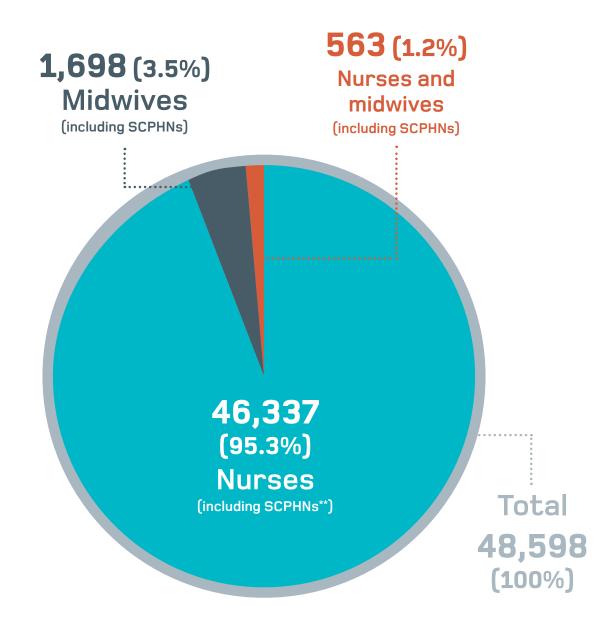
This chart shows the number of nurses and midwives due to revalidate and the number who actually revalidated, broken down by country.



For each country, the light coloured bar represents those who were due to revalidate, and the dark coloured bar represents those who actually revalidated.

# Figure 3: Revalidated by registration type\* (after revalidation)

This chart shows the number and percentage of nurses and midwives who revalidated broken down by registration type after revalidation.



<sup>\*</sup> This is a nurse or midwife's registration type **after** their registration is renewed, partially renewed or lapsed.

<sup>\*\*</sup> A specialist community public health nurse (SCPHN) is a registered nurse or midwife who is also registered in the Specialist Community Public Health Nurses' part of the register.

## Figure 4: Number due to revalidate\* by country

This table shows the number of nurses and midwives due to revalidate broken down by country.

| Registration type** before revalidation | England | Scotland | Wales Northern<br>Ireland |       | Not<br>practising<br>in UK*** | Total  |
|---|---------|----------|---------------------------|-------|-------------------------------|--------|
| Nurse                                   | 37,494  | 4,945    | 2,500                     | 1,541 | 1,093                         | 47,573 |
| Midwife                                 | 1,281   | 180      | 68                        | 54    | 44                            | 1,627  |
| Nurse and midwife                       | 614     | 55       | 50 26                     |       | 29                            | 774    |
| Nurse and SCPHN                         | 1,771   | 192      | 119                       | 61    | 22                            | 2,165  |
| Midwife and SCPHN                       | 46      | 11       | 3                         | -     | -                             | 61     |
| Nurse, midwife and SCPHN                | 35      | 2        | 2                         | -     | 4                             | 43     |
| Total                                   | 41,241  | 5,385    | 2,742                     | 1,682 | 1,193                         | 52,243 |

<sup>\*</sup> Includes all nurses and midwives who were sent a formal notice to revalidate for January, February or March 2017.

<sup>\*\*</sup> This is a nurse or midwife's registration type **before** their registration is renewed, partially renewed or lapsed.

<sup>\*\*\*</sup> This includes nurses and midwives whose current or most recent practice (those for whom we have an employer address), or their home address is either in the EU/EEA or overseas (outside EU/EEA).

### Figure 5: Total number revalidating

This table shows the total number of nurses and midwives who revalidated with the NMC in this quarter. It includes both those who went through the standard revalidation process (Figure 6) and those who completed our exceptional circumstances process (Figure 7). The number of nurses and midwives revalidating is in line with what we would expect to see and is in line with the same period in previous years. There continues to be a pattern of dual registrants choosing to lapse one registration. As with the previous period the majority lapsed their nursing registration to become midwives.

| Registration type after revalidation* | England   | Scotland | Wales | Northern<br>Ireland | Not<br>practising<br>in UK** | Total  |
|---------------------------------------|-----------|----------|-------|---------------------|------------------------------|--------|
| Nurse                                 | 35,260    | 4,565    | 2,372 | 1,455               | 632                          | 44,284 |
| Midwife                               | 1,300     | 191      | 72    | 56                  | 20                           | 1,639  |
| Nurse and midwife                     | 435       | 29       | 36    | 17                  | 19                           | 536    |
| Nurse and SCPHN                       | 1,676 190 |          | 116   | 55                  | 16                           | 2,053  |
| Midwife and SCPHN                     | 46        | 10       | 3     | -                   | -                            | 59     |
| Nurse, midwife<br>and SCPHN           | 25        | -        | -     | -                   | 2                            | 27     |
| Total                                 | 38,742    | 4,985    | 2,599 | 1,583               | 689                          | 48,598 |

<sup>\*</sup> This is a nurse or midwife's registration type **after** their registration is renewed, partially renewed or lapsed.

This table does **not** include nurses and midwives who submitted a revalidation application but by the end of their renewal month had not had their revalidation application fully processed. Reasons for this may include that: they were going through the process of verification, had declared cautions and convictions, had declared a determination from another regulator, or were subject to fitness to practise sanctions.

<sup>\*\*</sup> This includes nurses and midwives whose current or most recent practice (those for whom we have an employer address), or their home address is either in the EU/EEA or overseas (outside EU/EEA).

# Figure 6: Number revalidating (excluding those with exceptional circumstances)

This table shows the number of nurses and midwives who revalidated through the standard revalidation process. It excludes those who renewed their registration through our exceptional circumstances process.

| Registration type after revalidation* | England               | Scotland | Wales | Wales Northern<br>Ireland |     | Total  |
|---------------------------------------|-----------------------|----------|-------|---------------------------|-----|--------|
| Nurse                                 | 35,055                | 4,534    | 2,360 | 1,449                     | 628 | 44,026 |
| Midwife                               | 1,293                 | 191      | 71    | 56                        | 20  | 1,631  |
| Nurse and midwife                     | se and midwife 429    |          | 36    | 17                        | 19  | 530    |
| Nurse and SCPHN                       | se and SCPHN 1,663 19 |          | 116   | 54                        | 16  | 2,039  |
| Midwife and SCPHN                     | idwife and SCPHN 46   |          | 3     | -                         | -   | 59     |
| Nurse, midwife and SCPHN              | 25                    | -        | -     | -                         | 2   | 27     |
| Total                                 | 38,511                | 4,954    | 2,586 | 1,576                     | 685 | 48,312 |

This table does **not** include nurses and midwives who submitted a revalidation application but by the end of their renewal month had not had their revalidation application fully processed. Reasons for this may include that: they were going through the process of verification, had declared cautions and convictions, had declared a determination from another regulator, or were subject to fitness to practise sanctions.

<sup>\*</sup> This is a nurse or midwife's registration type **after** their registration is renewed, partially renewed or lapsed.

<sup>\*\*</sup> This includes nurses and midwives whose current or most recent practice (those for whom we have an employer address), or their home address is either in the EU/EEA or overseas (outside EU/EEA).

# Figure 7: Revalidation through exceptional circumstances

This table shows the number of nurses and midwives who revalidated through our exceptional circumstances process. This includes nurses and midwives who were unable to meet the standard revalidation requirements, for example due to maternity leave or long term illness.

Nurses and midwives renewing their registration by completing our exceptional circumstances process are required to meet adjusted revalidation requirements. This includes requirements for practice hours, continuing professional development (CPD), professional indemnity arrangement and a health and character declaration.

| Registration type after revalidation* | England | Scotland | Wales | Northern<br>Ireland | Not<br>practising<br>in UK** | Total |
|---------------------------------------|---------|----------|-------|---------------------|------------------------------|-------|
| Nurse                                 | 205     | 31       | 12    | 6                   | 4                            | 258   |
| Midwife                               | 7       | -        | 1     | -                   | -                            | 8     |
| Nurse and midwife                     | 6       | -        | -     | -                   | -                            | 6     |
| Nurse and SCPHN                       | 13      | -        | -     | 1                   | -                            | 14    |
| Midwife and SCPHN                     | -       | -        | -     | -                   | -                            | -     |
| Nurse, midwife<br>and SCPHN           | -       | -        | -     | -                   | -                            | -     |
| Total                                 | 231     | 31       | 13    | 7                   | 4                            | 286   |

<sup>\*</sup> This is a nurse or midwife's registration type **after** their registration is renewed, partially renewed or lapsed.

<sup>\*\*</sup> This includes nurses and midwives whose current or most recent practice (those for whom we have an employer address), or their home address is either in the EU/EEA or overseas (outside EU/EEA).

## Figure 8: Lapsed registrations

This table shows the number of nurses and midwives who were due to revalidate in this quarter but who lapsed all their registrations. It does not include those holding more than one registration who elected to lapse one or more of those registrations, and retain the remaining registration(s).

| Registration<br>type at the<br>point of lapsing*              | England             | Scotland             | Wales             | Northern<br>Ireland | Not<br>practising<br>in UK** | Total               |
|---|---------------------|----------------------|-------------------|---------------------|------------------------------|---------------------|
| Nurse   | 1,986               | 330                  | 111               | 79                  | 447                          | 2,953               |
| Midwife   | 63                  | 7                    | 8                 | 3                   | 25                           | 106                 |
| Nurse and<br>midwife  | 25                  | 3                    | -                 | 2                   | 8                            | 38                  |
| Nurse and<br>SCPHN  | 88                  | 13                   | 2                 | 5                   | 4                            | 112                 |
| Nurse and<br>SCPHN  | 2                   | -                    | -                 | -                   | 1                            | 3                   |
| Nurse, midwife<br>and SCPHN                                   | 1                   | _                    | -                 | -                   | 1                            | 2                   |
| Total<br>(Percentage of those due to<br>revalidate who lapse) | <b>2,165</b> (5.2%) | <b>353</b><br>(6.6%) | <b>121</b> (4.4%) | <b>89</b><br>(5.3%) | <b>486</b> (40.7%)           | <b>3,214</b> (6.2%) |

<sup>\*</sup> This is a nurse or midwife's registration type at the point of lapsing.

<sup>\*\*</sup> This includes nurses and midwives whose current or most recent practice (those for whom we have an employer address), or their home address is either in the EU/EEA or overseas (outside EU/EEA).



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