

# REVALIDATION

## Quarterly report

Year 1, Quarter 3 – October to December 2016



## FOREWORD

Welcome to the third revalidation quarterly report from the NMC. I am delighted to report that we have now seen over 154,000 nurses and midwives go through the revalidation process successfully. While the numbers due to revalidate this quarter were nearly half that of the previous quarter, I am pleased to see that renewal rates compare positively with previous years and there is no evidence of any increase in nurses and midwives leaving the register.

I am also pleased to see that, compared with previous years, a smaller proportion of nurses and midwives are relying on transitional and alternative support arrangements in order to renew their registration. This is yet another sign that the revalidation requirements are simple and straightforward, with the vast majority of nurses and midwives able to revalidate successfully across all four countries of the UK and across both the midwifery and nursing professions.

I continue to hear positive feedback from the across the professions and my experience is supported by the positive feedback noted by the PSA in their recent performance review. All the evidence shows that nurses and midwives continue to engage positively with the process and we will continue to work with them to ensure revalidation is a success.

**Emma Broadbent**  
Director of Registration and Revalidation

# CONTENTS

- 4 Introduction
- 6 The big picture
- 7 Revalidation summary table
- 8 Number due to renew vs. number revalidating
- 9 Revalidated by registration type (after revalidation)
- 10 Number due to revalidate by country
- 11 Total number revalidating
- 12 Number revalidating  
(excluding those with exceptional circumstances)
- 13 Revalidation through exceptional circumstances
- 14 Lapsed registrations



# INTRODUCTION

## **Revalidation is the new process that all nurses and midwives in the UK need to follow to maintain their registration with the NMC.**

Taking effect from April 2016, nurses and midwives are required to meet a range of requirements which help show that they are practising safely and effectively in line with the Code.

To make sure we monitor and continually improve revalidation, we have committed to reporting quarterly and annually on how the process is going.

In addition to quarterly reporting we will also produce an annual report which will provide more insight into areas such as scope of practice, reasons for lapsing, work setting, employment type, appraisals and verification. It will also give information on the numbers of nurses and midwives with protected characteristics going through revalidation.

In addition to the regular monitoring and reporting of revalidation data we have commissioned Ipsos MORI to conduct a wide ranging three year evaluation of revalidation. As well as including information from regular data reports, it will also include an analysis of the experience of undertaking revalidation, the impact of the individual revalidation requirements and the overall impact of the programme. This review began in November 2016 with a survey of nurses and midwives and we will include some early findings from the first year of evaluation in our annual report.

The number of nurses and midwives due to revalidate in this quarter was significantly lower than in the previous quarter (47,773 compared to 80,668). Historically, renewal rates for this quarter have been slightly lower than for the previous quarter and we have seen this pattern repeated. This is in line with our expectations and there is no evidence of an increase in nurses and midwives leaving the register compared with previous years.



Data within this report is broken down by registration type and by country. For the purposes of this report, 'country' means the country of a nurse or midwife's current or most recent practice (for those for whom we have an employer address), or their home address. This means that for most people who revalidated and are employed directly (which is the majority), their country is the country of their current or most recent employment. For those who lapse and for some of those who are self-employed, it is the country where they live.

Please note 'revalidated' doesn't include those who submitted a revalidation application and whose renewal had yet to be completed by the end of their renewal month. Reasons for this may include that: they were going through the process of verification, had declared cautions and convictions, had declared a determination from another regulator, or were subject to FtP sanctions.

We welcome your feedback, as well as suggestions on what additional information would be useful.

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# THE BIG PICTURE

## OCTOBER TO DECEMBER 2016

The third quarter of revalidation shows that the number of nurses and midwives revalidating is in line with our expectations and similar to the patterns of registration renewal we have seen of the past six years.

Revalidation rates across the four countries of the UK were very similar, ranging from **91%** to **94%**.

Rates were the same for both nurses and midwives and there has been **no increase** in the proportion of nurses and midwives leaving the register.

**43,445** nurses and midwives revalidated.

The proportion of nurses and midwives revalidating by country was:

<b>England</b>	<b>80%</b>
<b>Scotland</b>	<b>10%</b>
<b>Wales</b>	<b>5%</b>
<b>Northern Ireland</b>	<b>4%</b>
<b>From outside the UK</b>	<b>1%</b>

The number of nurses and midwives not revalidating is in line with those not renewing their registration in previous years at between **5%** and **8%**.



## OCTOBER TO DECEMBER 2016

### Figure 1: Revalidation summary table

This table summarises the number and percentage of nurses and midwives who revalidated with the NMC.

The proportion of nurses and midwives revalidating is in line with what we would expect to see and is also in line with the same period in previous years

Month	England	Scotland	Wales	Northern Ireland	Not practising in UK***	Total	
Oct 2016	Number due to revalidate*	12,996	1,846	894	953	410	17,099
	Number (percentage) who revalidated**	12,314 (94.8%)	1,716 (93.0%)	845 (94.5%)	890 (93.4%)	249 (60.7%)	16,014 (93.7%)
Nov 2016	Number due to revalidate	14,121	1,358	826	570	413	17,288
	Number (percentage) who revalidated	12,718 (90.1%)	1,222 (90.0%)	733 (88.7%)	541 (94.9%)	234 (56.7%)	15,448 (89.4%)
Dec 2016	Number due to revalidate	10,633	1,365	717	338	333	13,386
	Number (percentage) who revalidated	9,585 (90.1%)	1,248 (91.4%)	639 (89.1%)	313 (92.6%)	198 (59.5%)	11,983 (89.5%)
Total	Number due to revalidate	37,750	4,569	2,437	1,861	1,156	47,773
	Number (percentage) who revalidated	34,617 (91.7%)	4,186 (91.6%)	2,217 (91.0%)	1,744 (93.7%)	681 (58.9%)	43,445 (90.9%)

\* Includes all nurses and midwives who were sent a formal notice to revalidate for October, November or December 2016 (Figure 4).

\*\* Includes all nurses and midwives who revalidated, including through the exceptional circumstances process (Figure 5).

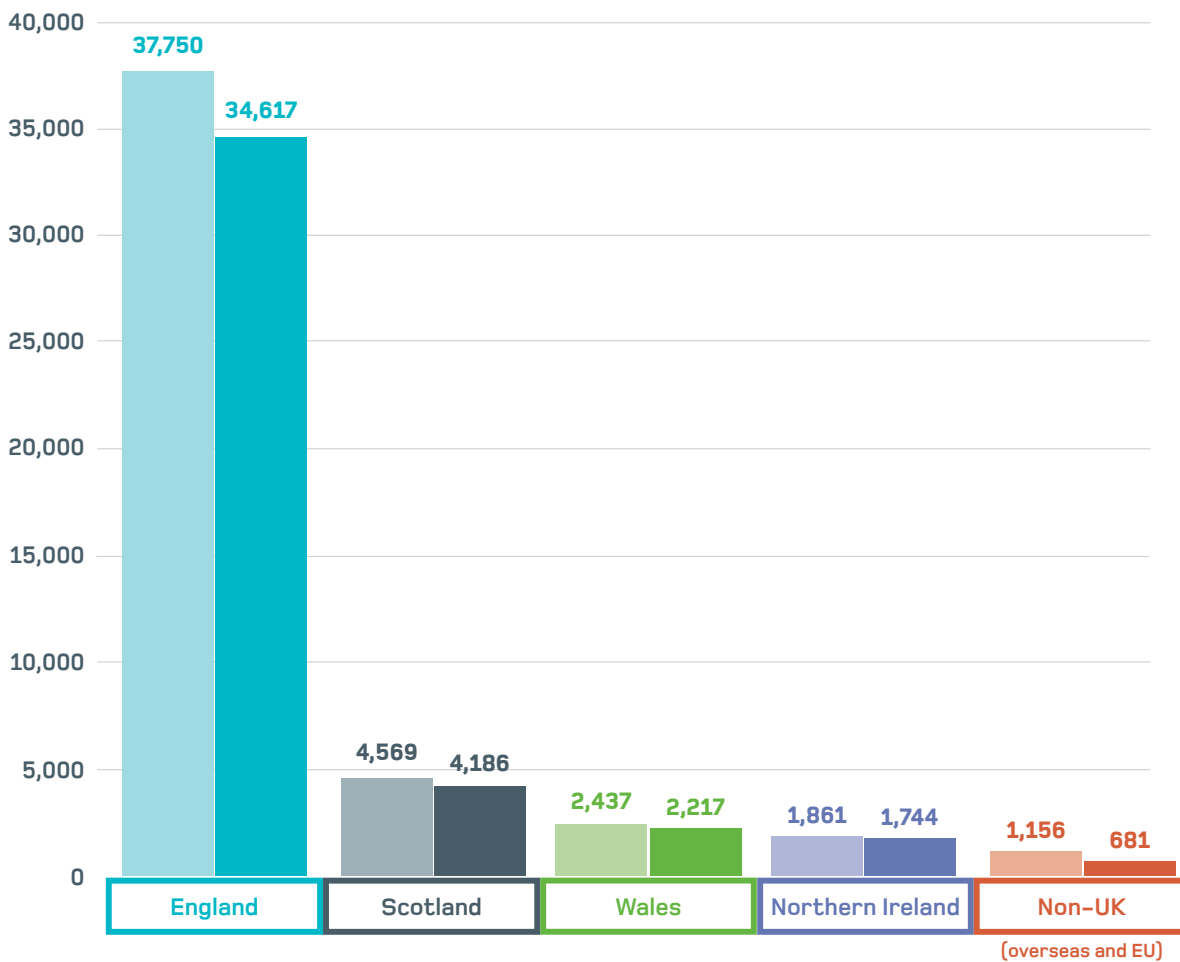
\*\*\* This includes nurses and midwives whose current or most recent practice (those for whom we have an employer address), or their home address is either in the EU/EEA or overseas (outside EU/EEA).

This table does **not** include nurses and midwives who submitted a revalidation application but by the end of their renewal month had not had their revalidation application fully processed. Reasons for this may include that: they were going through the process of verification, had declared cautions and convictions, had declared a determination from another regulator, or were subject to FtP sanctions.

OCTOBER TO DECEMBER 2016

## Figure 2: Number due to renew vs. number revalidating

This chart shows the number of nurses and midwives due to revalidate and the number who actually revalidated, broken down by country. The proportion of nurses and midwives revalidating is in line with what we would expect to see and also in line with the same period in previous years.



For each country, the light coloured bar represents those who were due to revalidate, and the dark coloured bar represents those who actually revalidated.

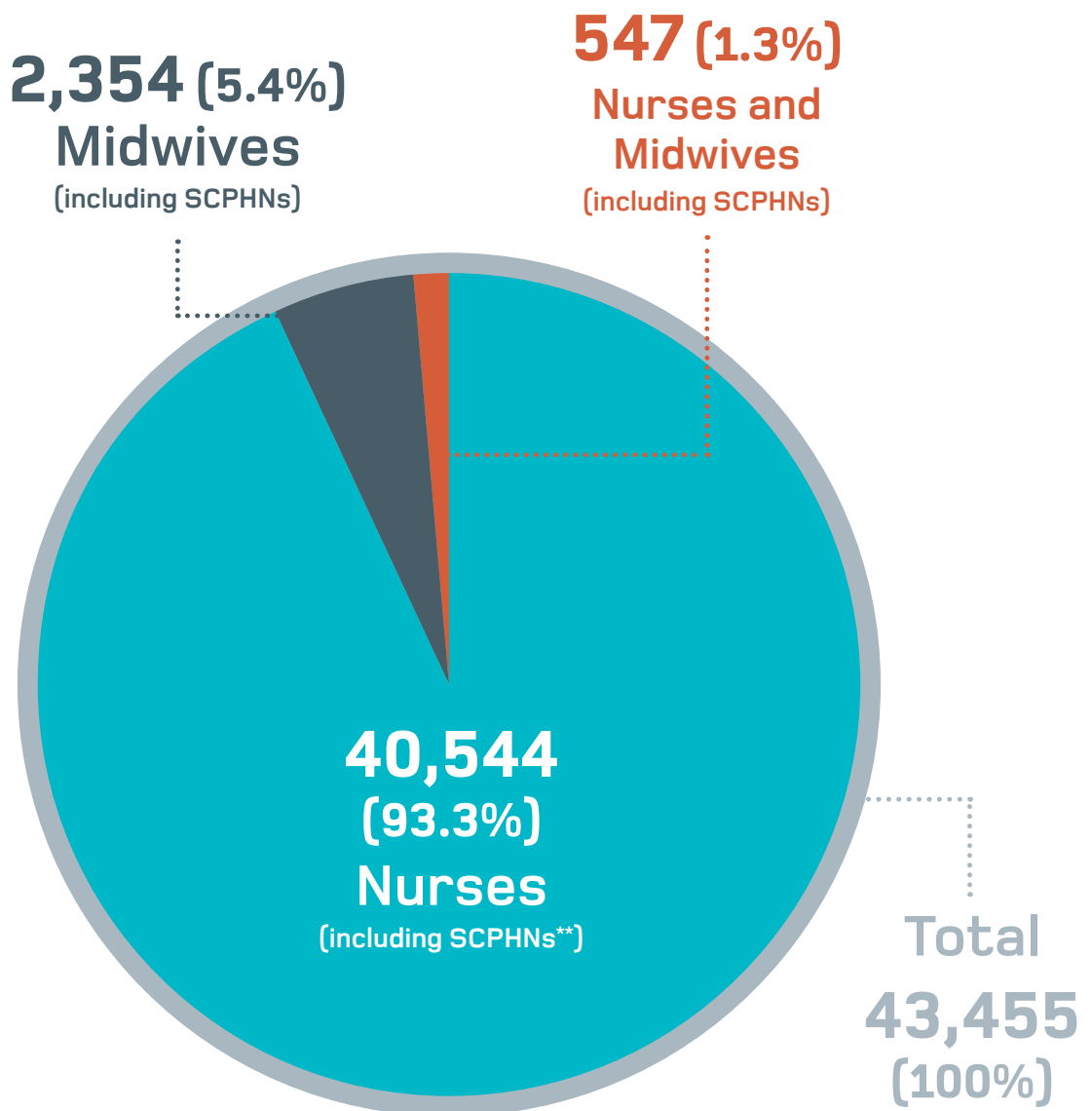


OCTOBER TO DECEMBER 2016

### Figure 3: Revalidated by registration type\* (after revalidation)

This chart shows the number and percentage of nurses and midwives who revalidated broken down by registration type after revalidation.

The proportion of nurses and midwives who have revalidated is in line with what we would expect to see.



\* This is a nurse or midwife's registration type **after** their registration is renewed, partially renewed or lapsed.

\*\* A specialist community public health nurse (SCPHN) is a registered nurse or midwife who is also registered in the Specialist Community Public Health Nurses' part of the register.

OCTOBER TO DECEMBER 2016

## Figure 4: Number due to revalidate\* by country

This table shows the number of nurses and midwives due to revalidate broken down by country.

Registration type** before revalidation	England	Scotland	Wales	Northern Ireland	Not practising in UK***	Total
Nurse	33,215	4,081	2,166	1,625	1,044	42,131
Midwife	1,887	201	75	104	54	2,321
Nurse and Midwife	625	61	46	27	32	791
Nurse and SCPHN	1,927	222	144	104	26	2,423
Midwife and SCPHN	60	1	3	1	-	65
Nurse, Midwife and SCPHN	36	3	3	-	-	42
<b>Total</b>	<b>37,750</b>	<b>4,569</b>	<b>2,437</b>	<b>1,861</b>	<b>1,156</b>	<b>47,773</b>

\* Includes all nurses and midwives who were sent a formal notice to revalidate for October, November or December 2016.

\*\* This is a nurse or midwife's registration type **before** their registration is renewed, partially renewed or lapsed.

\*\*\* This includes nurses and midwives whose current or most recent practice (those for whom we have an employer address), or their home address is either in the EU/EEA or overseas (outside EU/EEA).

OCTOBER TO DECEMBER 2016

## Figure 5: Total number revalidating

This table shows the total number of nurses and midwives who revalidated with the NMC. It includes those who went through the standard revalidation process (Figure 6) and those who completed our exceptional circumstances process (Figure 7). The number of nurses and midwives revalidating is in line with what we would expect to see and is in line with the same period in previous years. There continues to be a pattern of dual registrants choosing to lapse one registration. As with the previous period the majority lapsed their nursing registration to become midwives.

Registration type after revalidation*	England	Scotland	Wales	Northern Ireland	Not practising in UK**	Total
Nurse	30,480	3,741	1,970	1,522	607	38,320
Midwife	1,871	195	82	102	37	2,287
Nurse and Midwife	423	40	26	15	18	522
Nurse and SCPHN	1,759	208	135	103	19	2,224
Midwife and SCPHN	63	1	2	1	-	67
Nurse, Midwife and SCPHN	21	1	2	1	-	25
<b>Total</b>	<b>34,617</b>	<b>4,186</b>	<b>2,217</b>	<b>1,744</b>	<b>681</b>	<b>43,445</b>

\* This is a nurse or midwife's registration type **after** their registration is renewed, partially renewed or lapsed.

\*\* This includes nurses and midwives whose current or most recent practice (those for whom we have an employer address), or their home address is either in the EU/EEA or overseas (outside EU/EEA).

This table does **not** include nurses and midwives who submitted a revalidation application but by the end of their renewal month had not had their revalidation application fully processed. Reasons for this may include that: they were going through the process of verification, had declared cautions and convictions, had declared a determination from another regulator, or were subject to FtP sanctions.

OCTOBER TO DECEMBER 2016

## Figure 6: Number revalidating (excluding those with exceptional circumstances)

This table shows the number of nurses and midwives who revalidated through the standard revalidation process. It excludes those who renewed their registration through our exceptional circumstances process.

Registration type after revalidation*	England	Scotland	Wales	Northern Ireland	Not practising in UK**	Total
Nurse	30,252	3,715	1,960	1,513	604	38,044
Midwife	1,856	194	82	101	37	2,270
Nurse and Midwife	418	40	26	15	18	517
Nurse and SCPHN	1,746	208	135	103	19	2,211
Midwife and SCPHN	63	1	2	1	-	67
Nurse, Midwife and SCPHN	21	1	2	1	-	25
<b>Total</b>	<b>34,356</b>	<b>4,159</b>	<b>2,207</b>	<b>1,734</b>	<b>678</b>	<b>43,134</b>

\* This is a nurse or midwife's registration type **after** their registration is renewed, partially renewed or lapsed.

\*\* This includes nurses and midwives whose current or most recent practice (those for whom we have an employer address), or their home address is either in the EU/EEA or overseas (outside EU/EEA).

This table does **not** include nurses and midwives who submitted a revalidation application but by the end of their renewal month had not had their revalidation application fully processed. Reasons for this may include that: they were going through the process of verification, had declared cautions and convictions, had declared a determination from another regulator, or were subject to FtP sanctions.

OCTOBER TO DECEMBER 2016

## Figure 7: Revalidation through exceptional circumstances

This table shows the number of nurses and midwives who revalidated through our exceptional circumstances process. This includes nurses and midwives who were unable to meet the standard revalidation requirements, for example due to maternity leave or long term illness.

Nurses and midwives renewing their registration by completing our exceptional circumstances process are required to meet adjusted revalidation requirements. This includes requirements for practice hours, continuing professional development (CPD), professional indemnity arrangement and a health and character declaration.

Registration type after revalidation*	England	Scotland	Wales	Northern Ireland	Not practising in UK**	Total
Nurse	228	26	10	9	3	276
Midwife	15	1	-	1	-	17
Nurse and Midwife	5	-	-	-	-	5
Nurse and SCPHN	13	-	-	-	-	13
Midwife and SCPHN	-	-	-	-	-	-
Nurse, Midwife and SCPHN	-	-	-	-	-	-
<b>Total</b>	<b>261</b>	<b>27</b>	<b>10</b>	<b>10</b>	<b>3</b>	<b>311</b>

\* This is a nurse or midwife's registration type **after** their registration is renewed, partially renewed or lapsed.

\*\* This includes nurses and midwives whose current or most recent practice (those for whom we have an employer address), or their home address is either in the EU/EEA or overseas (outside EU/EEA).

OCTOBER TO DECEMBER 2016

## Figure 8: Lapsed registrations

This table shows the number of nurses and midwives who were due to revalidate in this quarter but who lapsed all their registrations. It does not include those holding more than one registration who elected to lapse one or more of those registrations, and retain the remaining registration(s). The table shows whether those lapsing their registration actively told us they no longer wanted to be on our register ('elected to lapse'), or who took no action and subsequently lapsed after their renewal date ('lapsed').

Registration type at the point of lapsing*	Method of lapsing**	England	Scotland	Wales	Northern Ireland	Not practising in UK***	Total
Nurse	Elected to lapse	1,331	164	98	42	154	1,789
	Lapsed	1,182	151	80	46	267	1,726
	<b>Total</b>	<b>2,513</b>	<b>315</b>	<b>178</b>	<b>88</b>	<b>421</b>	<b>3,515</b>
Midwife	Elected to lapse	70	11	5	5	5	96
	Lapsed	50	5	2	4	13	74
	<b>Total</b>	<b>120</b>	<b>16</b>	<b>7</b>	<b>9</b>	<b>18</b>	<b>170</b>
Nurse and Midwife	Elected to lapse	25	2	3	1	6	37
	Lapsed	16	-	-	-	5	21
	<b>Total</b>	<b>41</b>	<b>2</b>	<b>3</b>	<b>1</b>	<b>11</b>	<b>58</b>
Nurse and SCPHN	Elected to lapse	101	8	9	3	6	127
	Lapsed	69	8	3	-	-	80
	<b>Total</b>	<b>170</b>	<b>16</b>	<b>12</b>	<b>3</b>	<b>6</b>	<b>207</b>
Midwife and SCPHN	Elected to lapse	-	-	-	-	-	-
	Lapsed	-	-	-	-	-	-
	<b>Total</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Nurse, Midwife and SCPHN	Elected to lapse	-	-	-	-	-	-
	Lapsed	1	-	-	-	-	1
	<b>Total</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1</b>
<b>Total – all registration types (Percentage of those due to revalidate who lapse)</b>		<b>2,845 (7.5%)</b>	<b>349 (7.6%)</b>	<b>200 (8.2%)</b>	<b>101 (5.4%)</b>	<b>456 (39.4%)</b>	<b>3,951 (8.3%)</b>

\* This is a nurse or midwife's registration type at the point of lapsing.

\*\* Elected to lapse: informed us that they were lapsing either through the NMC online system or a cease to practise form.

Lapsed: Did not submit a revalidation application. For example, anyone due to renew their registration on 31 October 2016 who took no action and whose registration subsequently lapsed on 1 November.

\*\*\* This includes nurses and midwives whose current or most recent practice (those for whom we have an employer address), or their home address is either in the EU/EEA or overseas (outside EU/EEA).

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